

PATENT

GAU 1643  
#4**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) Kravtsoff, et al.  
Serial No.: 09/745,644  
Filed: December 22, 2000  
For: PARTICULATE COMPLEX  
FOR ADMINISTERING  
NUCLEIC ACID INTO A CELL

Examiner: Unassigned  
Group Art Unit: 1643  
Docket: 759-13 CON  
Dated: March 26, 2001

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Washington, DC 20231

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20231 on March 26, 2001

Dated: 3/26/01

*Julie L. Watts*

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.

§1.56, Applicants submit herewith the following Information Disclosure Statement in  
accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**UNITED STATES PATENTS**

<b><u>PATENTEE</u></b>	<b><u>PATENT NO.</u></b>	<b><u>ISSUE DATE</u></b>
Luciw, et al.	5,688,688	November 18, 1997
Samain, et al.	5,968,794	October 19, 1999
Samain, et al.	6,013,284	January 11, 2000
Betbeder, et al.	6,017,513	January 25, 2000
Belloni, et al.	6,034,137	March 7, 2000
Nantz, et al.	6,043,390	March 28, 2000



**NON-PATENT PUBLICATIONS**

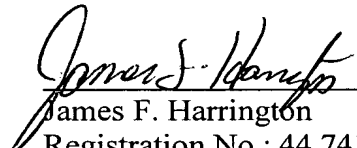
1. Felgner, et al., "Nomenclature for Synthetic Gene Delivery Systems", *Human Gene Therapy*, 8:511-512 (1997).
2. Murata, et al., "Design of Quaternary Chitosan Conjugate Having Antennary Glactose Residues as a Gene Delivery Tool", *Carbohydrate Polymers*, 32:105-109 (1997).
3. Murata, et al., "Possibility of Application of Quaternary Chitosan Having Pendant Galactose Residues as Gene Delivery Tool", *Carbohydrate Polymer*, 29:1, 69-74(1996).
4. Bettinger, et al., "Size Reduction of Galactosylated PEI/DNA Complexes Improves Lectin-Mediated Gene Transfer into Hepatocytes", *Bioconjugate Chem.* 10:558-561(1999).

The above-identified patents are also listed in Applicant's Form PTO-1449, which is attached to this Information Disclosure Statement for the convenience of the Examiner. Each of the references listed above was cited in parent Application Serial No. 09/557,717.

Accordingly, copies of the references have not been submitted.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

  
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